Legion of the Spongy Castle Inc.
SC-FJA (Spongy Castle FIPS Java API)

User Guide

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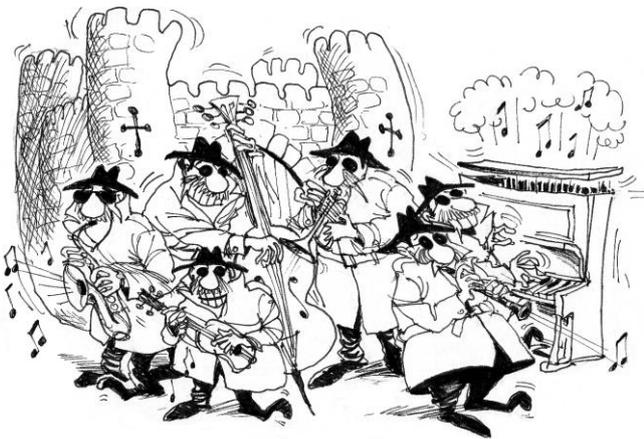
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Acknowledgements

For further information about this distribution, or to help support this work further, please contact us at office@Spongycastle.org.

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1 Introduction

This document is a guide to the use of the Legion of the Spongy Castle FIPS Java module. It is a companion document to the "Legion of the Spongy Castle Security Policy". As an addendum to the security policy this document is meant to provide more detail on the module. It should be noted that the security policy is the authoritative source, and in event of a conflict between this document and the security policy, the version in the security policy should be accepted. Only the security policy has been reviewed and approved by the Cryptographic Module Validation Program (CMVP), the joint US – Canadian program for the validation of cryptographic products that overviews the FIPS process.

This document assumes you are familiar with Java and at least have some familiarity with the Java Cryptography Architecture (the JCA) and the Java Cryptography Extension (the JCE).

1.1 About the FIPS Validation

The SC FIPS jar has been designed and implemented to meet FIPS 140-2, Level 1 requirements. As detailed in the security policy, providing you use the SC FIPS jar as is, the validation of the module applies to its use on the Java SE Runtime Environment 7 and the Java SE Runtime Environment 8. This is possible because the FIPS Implementation Guidance (the IG) allows affirmation of compliance providing a software module is run on the same single user environment as it was originally tested on, even if what underlies the single user environment is different (see section G.5). In our case the Java Virtual Machine represents the single user environment.

1.2 Use with the BC PKIX, OpenPGP (PG), and SMIME APIs

Separate JARs are provided for the additional Spongy Castle APIs, these are the same as the regular ones, however the lightweight BC support classes have been removed and some internal version numbers have been changed in order to allow the SC FIPS jar and the jars for the additional APIs to work together correctly in OSGI compliant containers. The SC FIPS jar is compatible with the extra Spongy Castle APIs from BC 1.53.

1.3 Commercial Support

Commercial support contracts for this software are available from Crypto Workshop and also help support this project. The commercial support program also entitles you to early access to the next version of the FIPS module, any updates as soon as they are made, and the opportunity to request changes and enhancements. For further information about commercial support please email us info@cryptoworkshop.com.

1.4 Using the Module in an Application for FIPS compliance

In order to meet FIPS requirements, if you develop an application with this module you need to make sure you follow the security policy provided with the module. The security policy represents the official reviewed document of how to make use of this module properly – it is the final word on the topic. If you need help following the security policy, or wish to confirm you are doing so correctly, we are happy to certify this via review of your application. If you are a support contract holder this is regarded as a consulting assignment, but you can use your consulting time towards it, and benefit from our discounted rate if any additional time is required.

1.5 If you need Changes or Enhancements

If the certification does not cover your Java runtime environment, or you need a different, possibly smaller, set of algorithms, we can help you. The module has been designed to subset and extend

easily, and we are the best placed to do it – after all we designed it, and we would hope that the presence of this document shows we have definitely got the experience and we deliver.

We do not object to being involved in private validations either. We accept that there are sometimes situations where a private validation may make good sense for an organisation, either for competitive advantage, marketing, or risk management reasons. Often enhancements and sub-setting can be done using fixed rate contracts to help manage costs, and, again, the consulting time available in support contracts may be used towards this as well. So if you need further work around the module, please contact us and we will let you know what can be done.

2 Installation

The sc-fips jar can be installed in either jre/lib/ext for your JRE/JDK, or in many cases, on the general class path defined for the application you are running. In the event you do install on the sc-fips jar on the general class path be aware that sometimes the system class loader may make it impossible to use the classes in the sc-fips jar without causing an exception depending on how elements of the JCA/JCE are loaded elsewhere in the application.

Note: in any of the cases below it is likely you will need to install the unrestricted policy files for the JCE in order to make full use of the algorithm set in the sc-fips jar.

2.1 Provider installation into the JRE

Once the SCFIPS jar is installed, the provider class SpongyCastleFipsProvider may need to be installed if it is required in the application globally.

Installation of the provider can be done statically in the JVM by adding it to the provider definition to the java.security file in in the jre/lib/security directory for your JRE/JDK.

The provider can also be added during execution. If you wish to add the provider to the JVM globally during execution you can add the following imports to your code:

```
import java.security.Security
import org.spongycastle.jcajce.provider.SpongyCastleFipsProvider
```

Then insert the line

```
Security.addProvider(new SpongyCastleFipsProvider())
```

The provider can then be used by referencing the name "SCFIPS", for example:

```
Cipher c = Cipher.getInstance("AES/CBC/PKCS5Padding", "SCFIPS");
```

Alternately if you do not wish to install the provider globally, but use it locally instead, it is possible to pass the provider to the getInstance() method on the JCA/JCE class you are creating an instance of. For example:

```
Cipher c = Cipher.getInstance("AES/CBC/PKCS5Padding",
                   new SpongyCastleFipsProvider());
```

Note: if the provider object is created in FIPS approved mode then only FIPS approved mode algorithms will be available.

2.2 Provider installation as a provider for the JSSE

The provider can also be used to run the JSSE in FIPS mode if the host JVM supports it (JDK 1.6 or later). In this case the provider name needs to be passed to the constructor of the JSSE provider

either via the java.security file for the JVM:

> security.provider.4=com.sun.net.ssl.internal.ssl.Provider SCFIPS

or using the JSSE provider constructor:

> new com.sun.net.ssl.internal.ssl.Provider("SCFIPS")

Further details on using the JSSE in FIPS mode can be found at:

> http://docs.oracle.com/javase/8/docs/technotes/guides/security/jsse/FIPS.html

The JSSE makes use of Sun internal classes for passing parameters used by the TLS KDFs. In the event you are using the provider with the JSSE and there is a security manager present you will also need to grant:

permission java.lang.RuntimePermission
                    "accessClassInPackage.sun.security.internal.spec";

to allow the SC FIPS provider to handle the internal classes the JSSE presents.

It should also be noted here that RSA PKCS#1.5 key wrap, NONEwithDSA, NONEwithECDSA, and NONEwithRSA require 2 additional policy settings if the SCFIPS provider is run with a SecurityManager present and in "FIPS only" mode – as a rule these algorithms are not FIPS approved, except where used for TLS and the policy settings reflect this. In the most general case this will need:

permission org.spongycastle.crypto.CryptoServicesPermission "tlsAlgorithmsEnabled";

to be in the policy file associated with the deployment.

As the JSSE requires the presence of the SUN provider in FIPS mode, the minimal static provider configuration to support the JSSE is:

security.provider.1=org.spongycastle.jcajce.provider.SpongyCastleFipsProvider
security.provider.2=com.sun.net.ssl.internal.ssl.Provider SCFIPS
security.provider.3=sun.security.provider.Sun

When using the JSSE in FIPS mode you will probably also find it requires the server and client private keys to be coming from a key store supported by the SCFIPS provider. Use the SCFKS for the key store format.

2.3 Provider configuration

The provider can also take a string as a constructor argument, either via the java.security file definition or when the constructor is called at runtime.

At the moment the configuration string is limited to setting the DRGB. The configuration string must always start with "C:" and finish with "ENABLE{ALL};". The command for setting the actual DRGB to be used is DEFRND, so a configuration specifying the use of a SHA-256 DRGB would look like:

> new SpongyCastleFipsProvider("C:DEFRND[SHA256];ENABLE{ALL};");

The DRGB type string is based on the available DRGBs from SP 800-90A. The possible values are: "SHA1", "SHA224", "SHA256", "SHA384", "SHA512", "SHA512(224)", "SHA512(256)", "HMACSHA1", "HMACSHA224", "HMACSHA256", "HMACSHA384", "HMACSHA512",

"CTRAES128", "CTRAES192", "CTRAES256", and "CTRDESEDE".

3 Cipher Algorithms (Symmetric)

Cipher availability and the modes of the ciphers available depends on whether the module is running a approved-only mode or not. Not all widely used cipher modes are recognised by FIPS so in the FIPS ciphers appear in both the approved mode and general mode table, as some extra modes of operation become available for FIPS ciphers if the module in not running in approved only mode.

3.1 Available in Approved Mode Operation

Only the FIPS recognised ciphers and modes are available in approved mode of operation.

Algorithm	Low-level Class	Modes	JCE Cipher Name
AES	FipsAES	ECB, CBC, CFB8, CFB128, OFB, CTR, CCM, GCM	AES
TripleDES	FipsTripleDES	ECB, CBC, CFB8, CFB64, OFB, CTR	DESede

3.2 Available in General Operation

In general operation there are a wider range of ciphers available, in addition support is also provided for the FIPS recognised ciphers to use non-FIPS modes such as OpenPGPCFB.

Algorithm	Low-level Class	Modes	JCE Cipher Name
AES	AES	EAX, OCB, OpenPGPCFB	AES
ARC4	ARC4	N/A	ARC4, RC4
Blowfish	Blowfish	CBC, CFB8, CFB64, CTR, EAX, ECB, OFB, OpenPGPCFB	Blowfish
Camellia	Camellia	CBC, CCM, CFB8, CFB128, CTR, EAX, ECB, GCM, OCB, OFB	Camellia
CAST5	CAST5	CBC, CFB8, CFB64, CTR, EAX, ECB, OFB, OpenPGPCFB	CAST5
DES	DES	CBC, CFB8, CFB64, CTR, EAX, ECB, OFB, OpenPGPCFB	DES
GOST28147	GOST28147	CBC, CFB8, CFB64, CTR, EAX, ECB, GCFB, GOFB, OFB	GOST28147
IDEA	IDEA	CBC, CFB8, CFB64, CTR, EAX, ECB, OFB, OpenPGPCFB	IDEA
RC2	RC2	CBC, CFB8, CFB64, CTR, EAX, ECB, OFB	RC2
SEED	SEED	CBC, CCM, CFB8, CFB128, CTR, EAX, ECB,	SEED

Algorithm	Low-level Class	Modes	JCE Cipher Name
		GCM, OCB, OFB	
Serpent	Serpent	CBC, CCM, CFB8, CFB128, CTR, EAX, ECB, GCM, OCB, OFB	Serpent
SHACAL-2	SHACAL2	CBC, CFB8, CFB256, CTR, EAX, ECB, OFB	SHACAL-2, SHACAL2
Triple-DES	TripleDES	EAX, OpenPGPCFB	DESede
Twofish	Twofish	CBC, CCM, CFB8, CFB128, CTR, EAX, ECB, GCM, OCB, OFB	Twofish

3.3 Paddings Available

FIPS is largely ambivalent towards padding mechanisms, so all the padding modes are available in both approved-mode and general operation.

Mode	Padding Type	JCE Names
ECB	NONE, PKCS7 (PKCS5), ISO10126-2, X9.23, ISO7816-4, TBC	NoPadding, PKCS7Padding (PKCS5Padding), ISO10126-2Padding, X9.23Padding, ISO7816-4Padding, TBCPadding
CBC	NONE, PKCS7 (PKCS5), ISO10126-2, X9.23, ISO7816-4, TBC, CS1, CS2, CS3	NoPadding, PKCS7Padding (PKCS5Padding), ISO10126-2Padding, ISO7816-4Padding, X9.23Padding, TBCPadding, CS1Padding, CS2Padding, CS3Padding

In addition to the use of PKCS5Padding for PKCS7Padding, ISO9797-1Padding can also be used as an alias for ISO7816-4Padding.

While the padding types named after standards are self explanatory, TBC is "trailing bit complement" as defined in Appendix A, NIST SP 800-38A, CS1, CS2, and CS3 are all cipher text stealing modes as defined in the Addendum to NIST SP 800-38A. CBC with CS3 is also equivalent to CTS mode in RFC 2040.

3.3 Examples

The low-level examples make use of the following utility methods:

```
static byte[] encryptBytes(
  FipsOutputEncryptor outputEncryptor, byte[] plainText) throws IOException
{
   ByteArrayOutputStream bOut = new ByteArrayOutputStream();
   CipherOutputStream encOut = outputEncryptor.getEncryptingStream(bOut);

   encOut.update(plainText);

   encOut.close();

   return bOut.toByteArray();
}
```

and:

```
    static byte[] decryptBytes(FipsInputDecryptor inputDecryptor,
                       byte[] cipherText) throws IOException
{
    ByteArrayOutputStream bOut = new ByteArrayOutputStream();
    InputStream encIn = inputDecryptor.getDecryptingStream(
                    new ByteArrayInputStream(cipherText));
    int ch;

    while ((ch = encIn.read()) >= 0)
    {
        bOut.write(ch);
    }

    return bOut.toByteArray();
}
```

3.3.1 AES Encryption using CBC and PKCS5/7Padding

```
// ensure a FIPS DRBG in use.
CryptoServicesRegistrar.setSecureRandom(
    new FipsDRBG.Builder(
        new BasicEntropySourceProvider(
            new SecureRandom(), true))
    .build(FipsDRBG.SHA512_HMAC, null, true)
);

byte[] iv = new byte[16];

CryptoServicesRegistrar.getSecureRandom().nextBytes(iv);

FipsSymmetricKeyGenerator<SymmetricSecretKey>  keyGen =
            new FipsAES.KeyGenerator(128,
                            CryptoServicesRegistrar.getSecureRandom());

SymmetricSecretKey key = keyGen.generateKey();

FipsSymmetricOperatorFactory<FipsAES.Parameters> fipsSymmetricFactory =
                    new FipsAES.OperatorFactory();

FipsOutputEncryptor<FipsAES.Parameters> outputEncryptor =
    fipsSymmetricFactory.createOutputEncryptor(key,
        FipsAES.CBCwithPKCS7.withIV(iv));

byte[] output = encryptBytes(outputEncryptor, new byte[16]);

FipsInputDecryptor<FipsAES.Parameters> inputDecryptor =
    fipsSymmetricFactory.createInputDecryptor(key,
        FipsAES.CBCwithPKCS7.withIV(iv));

byte[] plain = decryptBytes(inputDecryptor, output);
```

3.3.2 JCE AES Encryption using CBC and PKCS5/7Padding

A note on this example: it will use the default provider DRGB if nothing has already been configured.

```
KeyGenerator keyGen = KeyGenerator.getInstance("AES", "SCFIPS");

keyGen.init(256);
```

```
SecretKey aesKey = keyGen.generateKey();

byte[] data = Hex.decode("00010203040506708090A0B0C0D0E0F");

Cipher enc = Cipher.getInstance("AES/CBC/PKCS5Padding", "SCFIPS");
enc.init(Cipher.ENCRYPT_MODE, aesKey);

byte[] encrypted = enc.doFinal(data);
byte[] iv = enc.getIV();

Cipher dec = Cipher.getInstance("AES/CBC/PKCS5Padding", "SCFIPS");
dec.init(Cipher.DECRYPT_MODE, aesKey, new IvParameterSpec(iv));


byte[] plain = dec.doFinal(encrypted);
```

3.3.3 JCE AES Encryption using GCM and an AEADParameterSpec

If you have to use JDK 1.5 or 1.6 there is no API in the Cipher class for introducing associated data. The AEADParameterSpec is provided to allow associated data to be prepended to the cipher text. In JDK 1.7, or later, Cipher.updateAAD() and the GCMParameterSpec can be used instead.

```
KeyGenerator keyGen = KeyGenerator.getInstance("AES", "SCFIPS");

keyGen.init(256);
SecretKey aesKey = keyGen.generateKey();

byte[] data = Hex.decode("00010203040506708090A0B0C0D0E0F");
byte[] assocData = Hex.decode("10111213141516171819");
byte[] nonce = Hex.decode("202122232425262728292a2b2c");

Cipher enc = Cipher.getInstance("AES/GCM/NoPadding", "SCFIPS");
enc.init(Cipher.ENCRYPT_MODE, aesKey,
              new AEADParameterSpec(nonce, 96, assocData));

byte[] encrypted = enc.doFinal(data);

Cipher dec = Cipher.getInstance("AES/GCM/NoPadding", "SCFIPS");
dec.init(Cipher.DECRYPT_MODE, aesKey,
              new AEADParameterSpec(nonce, 96, assocData));


byte[] plain = dec.doFinal(encrypted);
```

3.3.4 JCE AES Encryption using CTR a short IV

CTR mode can be used either with an internal counter or a provided one. An internal counter that limits the number of blocks that can be processed can be configured by providing the cipher with an IV which is less than the algorithm's block size. This will configure a limiting counter that is sizeof(cipher block) – sizeof(IV) bytes long. If the IV provided to CTR mode is the length of the block size of the algorithm the module will assume you are using an external (to the module) counter and simply add 1 to the IV as each block goes through.

If you are using a limiting counter, over, or under, flowing the counter will result in an IllegalStateException. The maximum size for a limiting counter is 8 bytes. In the example below an limiting counter of 4 bytes will be used as the IV is 12 bytes long, and the block size of AES is 16 bytes.

```java
KeyGenerator keyGen = KeyGenerator.getInstance("AES", "SCFIPS");

keyGen.init(256);
SecretKey aesKey = keyGen.generateKey();

byte[] data = Hex.decode("000102030405060708090A0B0C0D0E0F");
byte[] iv = Hex.decode("000102030405060708090a0b");

Cipher enc = Cipher.getInstance("AES/CTR/NoPadding", "SCFIPS");

enc.init(Cipher.ENCRYPT_MODE, aesKey, new IvParameterSpec(iv));

byte[] encrypted = enc.doFinal(data);

Cipher dec = Cipher.getInstance("AES/GCM/NoPadding", "SCFIPS");

dec.init(Cipher.DECRYPT_MODE, aesKey, new IvParameterSpec(iv));


byte[] plain = dec.doFinal(encrypted);
```

4 Cipher Algorithms (Public Key)

There are no direct public/private key ciphers available in approved mode. Available ciphers are restricted to use for key wrapping and key transport, see section 7 and section 8 for details.

4.1 Available in General Operation

Cipher	Low-level Class	JCE Name	Modes
ELGAMAL	ElGamal	ElGamal	NONE, ECB
RSA	RSA	RSA	NONE, ECB

4.2 Paddings Available

Public key algorithms support the following padding mechanisms:

- ⑩ NoPadding
- ⑩ OAEPwithSHA-1andMGF1Padding – aliases: OAEPwithSHA1andMGF1Padding, OAEPPadding.
- ⑩ OAEPwithSHA-224andMGF1Padding – aliases: OAEPwithSHA224andMGF1Padding
- ⑩ OAEPwithSHA-256andMGF1Padding – aliases: OAEPwithSHA256andMGF1Padding
- ⑩ OAEPwithSHA-384andMGF1Padding – aliases: OAEPwithSHA384andMGF1Padding
- ⑩ OAEPwithSHA-512andMGF1Padding – aliases: OAEPwithSHA512andMGF1Padding
- ⑩ PKCS1Padding

4.3 Examples

4.3.1 JCE RSA with PKCS1 Padding

```
public byte[] pkcs1Encrypt(RSAPublicKey pubKey, byte[] data)
{
    Cipher c = Cipher.getInstance("RSA/NONE/PKCS1Padding", "SCFIPS");

    c.init(Cipher.ENCRYPT_MODE, pubKey);

    return c.doFinal(data);
}
```

4.3.1 JCE ElGamal with OAEP SHA1 Padding

```
public byte[] oaepEncrypt(DHPublicKey pubKey, byte[] data)
{
    Cipher c = Cipher.getInstance(
            "ELGAMAL/NONE/OAEPwithSHA1andMGF1Padding", "SCFIPS");

    c.init(Cipher.ENCRYPT_MODE, pubKey);

    return c.doFinal(data);
```

}

5 Key Agreement Algorithms

Key agreement algorithms are available based around both elliptic curve and the regular prime fields. The basic primitives are provided in the low-level classes together with support for the use of a digest or a PRF as detailed in NIST SP 800-56C.

At the JCE level the provider supports key confirmation as well. The use of key confirmation is signalled by an algorithm string containing a "/" being passed to KeyAgreement.generateSecret() which returns a new type of SecretKey - an AgreedKeyWithMacKey (see example 5.3.6 for details). The AgreedKeyWithMacKey has an additional method on it getMacKey() which returns a key that can be used for the MAC calculation in the confirmation step. In line with NIST recommendations the MAC key can be pro-actively zeroized.

5.1 Available in Approved Mode Operation

Key Agreement Method	KDF	Low-level Class	JCE Name
DH		FipsDH	DH
DHwithSHA1KDF	X9.63(SHA-1)		DHwithSHA1KDF
DHwithSHA224KDF	X9.63(SHA-224)		DHwithSHA224KDF
DHwithSHA256KDF	X9.63(SHA-256)		DHwithSHA256KDF
DHwithSHA384KDF	X9.63(SHA-384)		DHwithSHA384KDF
DHwithSHA512KDF	X9.63(SHA-512)		DHwithSHA512KDF
DHwithSHA512(224)KDF	X9.63 (SHA-512(224))		DHwithSHA512(224)KDF
DHwithSHA512(256)KDF	X9.63 (SHA-512(256))		DHwithSHA512(256)KDF
DHwithSHA1CKDF	Concatenation (SHA-1)		DHwithSHA1CKDF
DHwithSHA224CKDF	Concatenation (SHA-224)		DHwithSHA224CKDF
DHwithSHA256CKDF	Concatenation (SHA-256)		DHwithSHA256CKDF
DHwithSHA384CKDF	Concatenation (SHA-384)		DHwithSHA384CKDF
DHwithSHA512CKDF	Concatenation (SHA-512)		DHwithSHA512CKDF
DHwithSHA512(224)CKDF	Concatenation (SHA-512(224))		DHwithSHA512(224)CKDF
DHwithSHA512(256)CKDF	Concatenation (SHA-512(256))		DHwithSHA512(256)CKDF
MQV		FipsDH	
MQVwithSHA1KDF	X9.63(SHA-1)		MQVwithSHA1KDF
MQVwithSHA224KDF	X9.63(SHA-224)		MQVwithSHA224KDF
MQVwithSHA256KDF	X9.63(SHA-256)		MQVwithSHA256KDF
MQVwithSHA384KDF	X9.63(SHA-384)		MQVwithSHA384KDF

Key Agreement Method	KDF	Low-level Class	JCE Name
MQVwithSHA512KDF	X9.63(SHA-512)		MQVwithSHA512KDF
MQVwithSHA512(224)KDF	X9.63 (SHA-512(224))		MQVwithSHA512(224)KDF
MQVwithSHA512(256)KDF	X9.63 (SHA-512(256))		MQVwithSHA512(256)KDF
MQVwithSHA1CKDF	Concatenation (SHA-1)		MQVwithSHA1CKDF
MQVwithSHA224CKDF	Concatenation (SHA-224)		MQVwithSHA224CKDF
MQVwithSHA256CKDF	Concatenation (SHA-256)		MQVwithSHA256CKDF
MQVwithSHA384CKDF	Concatenation (SHA-384)		MQVwithSHA384CKDF
MQVwithSHA512CKDF	Concatenation (SHA-512)		MQVwithSHA512CKDF
MQVwithSHA512(224)CKDF	Concatenation (SHA-512(224))		MQVwithSHA512(224)CKDF
MQVwithSHA512(256)CKDF	Concatenation (SHA-512(256))		MQVwithSHA512(256)CKDF
ECDH		FipsEC	ECDH
ECDHwithSHA1KDF	X9.63(SHA-1)		ECDHwithSHA1KDF
ECDHwithSHA224KDF	X9.63(SHA-224)		ECDHwithSHA224KDF
ECDHwithSHA256KDF	X9.63(SHA-256)		ECDHwithSHA256KDF
ECDHwithSHA384KDF	X9.63(SHA-384)		ECDHwithSHA384KDF
ECDHwithSHA512KDF	X9.63(SHA-512)		ECDHwithSHA512KDF
ECCDH		FipsEC	ECCDH
ECCDHwithSHA1KDF	X9.63(SHA-1)		ECCDHwithSHA1KDF
ECCDHwithSHA224KDF	X9.63(SHA-224)		ECCDHwithSHA224KDF
ECCDHwithSHA256KDF	X9.63(SHA-256)		ECCDHwithSHA256KDF
ECCDHwithSHA384KDF	X9.63(SHA-384)		ECCDHwithSHA384KDF
ECCDHwithSHA512KDF	X9.63(SHA-512)		ECCDHwithSHA512KDF
ECCDHwithSHA1CKDF	Concatenation (SHA-1)		ECCDHwithSHA1KDF
ECCDHwithSHA224CKDF	Concatenation (SHA-224)		ECCDHwithSHA224CKDF
ECCDHwithSHA256CKDF	Concatenation (SHA-256)		ECCDHwithSHA256CKDF
ECCDHwithSHA384CKDF	Concatenation (SHA-384)		ECCDHwithSHA384CKDF

Key Agreement Method	KDF	Low-level Class	JCE Name
ECCDHwithSHA512CKDF	Concatenation (SHA-512)		ECCDHwithSHA512CKDF
ECCDHwithSHA512(224)CKDF	Concatenation (SHA-512(224))		ECCDHwithSHA512(224)CKDF
ECCDHwithSHA512(256)CKDF	Concatenation (SHA-512(256))		ECCDHwithSHA512(256)CKDF
ECMQV		FipsEC	ECMQV
ECMQVwithSHA1KDF	X9.63(SHA-1)		ECMQVwithSHA1KDF
ECMQVwithSHA224KDF	X9.63(SHA-224)		ECMQVwithSHA224KDF
ECMQVwithSHA256KDF	X9.63(SHA-256)		ECMQVwithSHA256KDF
ECMQVwithSHA384KDF	X9.63(SHA-384)		ECMQVwithSHA384KDF
ECMQVwithSHA512KDF	X9.63(SHA-512)		ECMQVwithSHA512KDF
ECMQVwithSHA1CKDF	Concatenation (SHA-1)		ECMQVwithSHA1KDF
ECMQVwithSHA224CKDF	Concatenation (SHA-224)		ECMQVwithSHA224CKDF
ECMQVwithSHA256CKDF	Concatenation (SHA-256)		ECMQVwithSHA256CKDF
ECMQVwithSHA384CKDF	Concatenation (SHA-384)		ECMQVwithSHA384CKDF
ECMQVwithSHA512CKDF	Concatenation (SHA-512)		ECMQVwithSHA512CKDF
ECMQVwithSHA512(224)CKDF	Concatenation (SHA-512(224))		ECMQVwithSHA512(224)CKDF
ECMQVwithSHA512(256)CKDF	Concatenation (SHA-512(256))		ECMQVwithSHA512(256)CKDF

Note: in approved mode ECDH will only work if the cofactor of the EC domain parameters being used is 1.

5.2 Available in General Operation

There are no additional key agreement algorithms offered in general operation, however ECDH can be used even with curves that do not have a cofactor of 1.

5.3 Examples

The following examples are all for elliptic curve, other than Cofactor-Diffie-Hellman (CDH), they can equally be applied to FipsDH, or the algorithm "DH" in the case of the JCE.

5.3.1 Basic Agreement

```
public byte[] basicAgreement(
    AsymmetricECPrivateKey ourKey,
    AsymmetricECPublicKey otherPartyKey)
{
```

```
        FipsEC.DHAgreementFactory agreementFactory =
                        new FipsEC.DHAgreementFactory();

        FipsAgreement<FipsEC.AgreementParameters> agree =
                agreementFactory.createAgreement(ourKey, FipsEC.DH);

        return agree.calculate(otherPartyKey);
}
```

5.3.2 Basic Agreement with Cofactor

```
public byte[] basicAgreementWithCofactor(

    AsymmetricECPrivateKey ourKey,

    AsymmetricECPublicKey otherPartyKey)

{

        FipsEC.DHAgreementFactory agreementFactory =
                        new FipsEC.DHAgreementFactory();

        FipsAgreement<FipsEC.AgreementParameters> agree =
                agreementFactory.createAgreement(ourKey, FipsEC.CDH);

        return agree.calculate(otherPartyKey);
}
```

5.3.3 Basic Agreement with PRF

```
public byte[] basicAgreementWithPRF(

    AsymmetricECPrivateKey ourKey,

    AsymmetricECPublicKey otherPartyKey,

    FipsKDF.PRF prfAlg,

    byte[] salt)

{

        FipsEC.DHAgreementFactory agreementFactory =
                        new FipsEC.DHAgreementFactory();

        FipsEC.AgreementParameters params =
                new FipsEC.AgreementParameters(FipsEC.DH, prfAlg, salt);

        FipsAgreement<FipsEC.AgreementParameters> agree =
                agreementFactory.createAgreement(ourKey, params);

        return agree.calculate(otherPartyKey);
}
```

5.3.4 JCE Basic Agreement

```
public byte[] basicAgreement(

    ECPrivateKey ourKey,

    ECPublicKey otherPartyKey)

{

    KeyAgreement agree = KeyAgreement.getInstance("ECDH", "SCFIPS");


    agree.init(ourKey);
```

```
    agree.doPhase(otherPartyKey, true);


    return agree.generateSecret();
}
```

5.3.5 JCE One-pass MQV

MQV requires the use of ephemeral keys, in the case of one-pass MQV the other party's static key is assumed to be their ephemeral key.

```
public byte[] onePassMQV(
    ECPrivateKey ourKey,
    KeyPair      ourEphemeralKeys,
    ECPublicKey  otherPartyKey)
{
    KeyAgreement agree = KeyAgreement.getInstance("ECMQVwithSHA256KDF", "SCFIPS");


    agree.init(ourKey, new MQVParameterSpec(ourEphemeralKeys, otherPartyKey);

    agree.doPhase(otherPartyKey, true);


    return agree.generateSecret();
}
```

5.3.6 JCE One-pass MQV with key confirmation

The following sample returns a provider specific SecretKey type, a AgreedKeyWithMacKey, which allows a user to do key agreement with key confirmation. For all intents and purposes an AgreedKeyWithMacKey behaves like a secret key, but it also has an extra method on it for returning the MAC key associated with the agreement.

This sample also provides an example of how you can specify a key size and an algorithm with the SC FIPS provider. In the example below the the AgreedKeyWithMacKey will have a MAC key of 128 bits (algorithm name CMAC) and an encryption key of 256 bits (algorithm name AES).

```
public AgreedKeyWithMacKey onePassMQV(
    ECPrivateKey ourKey,
    KeyPair      ourEphemeralKeys,
    ECPublicKey  otherPartyKey)
{
    KeyAgreement agree = KeyAgreement.getInstance("ECMQVwithSHA256KDF", "SCFIPS");


    agree.init(ourKey, new MQVParameterSpec(ourEphemeralKeys, otherPartyKey);

    agree.doPhase(otherPartyKey, true);


    return (AgreedKeyWithMacKey)agree.generateSecret("CMAC[128]/AES[256]");
}
```

6 Key Derivation Functions

Most of the current KDFs in SP 800-135 and SP 800-108 are supported. In addition Scrypt is available when the Java module is not running in approved-only mode.

6.1 Available in Approved Mode Operation

Derivation Method	Low-level Class
Counter Mode	FipsKDF
Feedback Mode	FipsKDF
Double Pipeline Iteration Mode	FipsKDF
TLS 1.0	FipsKDF
TLS 1.1	FipsKDF
TLS 1.2	FipsKDF
SSH	FipsKDF
X 9.63	FipsKDF
Concatenation	FipsKDF
IKEv2	FipsKDF
SRTP	FipsKDF

6.2 Available in General Mode Operation

Derivation Method	Low-level Class
SCrypt	KDF

6.3 Examples

KDFs are currently not directly exposed in the JCE/JCA layer, although they are made use of internally by algorithms like Diffe-Hellman and also by the JSSE. They can be invoked directly using the low-level API.

6.3.1 Feedback Mode

An example of feedback mode using AES_CMAC as the PRF and an 8 bit counter. Such a KDF could be used to generate up to 4096 bytes (being 256 * 16 (the block size of AES_CMAC)). In the example below it is being used to generate 128 bits.

```
KDFOperatorFactory kdfOperatorFactory =
        new FipsKDF.FeedbackModeFactory();

KDFCalculator<FipsKDF.FeedbackModeParameters> kdfCalculator =
        kdfOperatorFactory.createKDFCalculator(
            FipsKDF.FEEDBACK_MODE.withPRF(FipsKDF.PRF.AES_CMAC)
    .withRAndLocation(8, FipsKDF.CounterLocation.BEFORE_ITERATION_DATA)
    .using(KI, IV, FixedInputData));

byte[] out = new byte[16];
```

kdfCalculator.generateBytes(out);

6.3.2 X9.63 KDF

This is the regular KDF used by Diffie-Hellman algorithms. In the following example it is also being used to generate 128 bits.

```
FipsKDFOperatorFactory<FipsKDF.AgreementKDFParameters> kdfOpt =
                new FipsKDF.X963OperatorFactory();

KDFCalculator kdfCalculator = kdfOpt.createKDFCalculator(FipsKDF.X963.using(Z));


byte[] out = new byte[16];

kdfCalculator.generateBytes(out);
```

7 Key Stores

The SC FIPS provider supports two types of KeyStore formats.

Key Store Type	Format	JCA Name
PKCS12	ASN.1 BER/DER	PKCS12
SCFKS	DER	SCFKS

The PKCS12 key store is **not available in approved-mode** of operation due to the algorithms required for PBE key generation in the PKCS#12 standard. The PKCS12 key store supports the following variations:

- PKCS12-3DES-3DES: the default, uses Triple-DES for any encryption task.
- PKCS12-3DES-40RC2: the traditional one, uses Triple-DES for private keys and 40 bit RC2 for certificate protection.

The SCFKS key store is designed to be FIPS compliant. It is available in approved-mode operation and is also capable of storing some secret key types in addition to public/private keys and certificates. The SCFKS key store uses PBKDF2 with HMAC SHA512 for password to key conversion and AES CCM for encryption. Passwords are encoded for conversion into keys using PKCS#12 format (as in each 16 bit character is converted into 2 bytes).

7.1 Examples

7.1.1 SCFKS key store

This code fragment shows storage of a private key and its certificate chain, as well as the storage of an AES key and a Triple-DES key.

```
PrivateKey key = … // asymmetric private key

X509Certificate[] certs = … // certificate chain for corresponding public key


KeyStore store1 = KeyStore.getInstance("SCFKS", "SCFIPS");

store.load(null, null);
store.setKeyEntry("privkey", key, passwd, certs);

SecretKeySpec aesKey = new SecretKeySpec(
            Hex.decode("000102030405060708090a0b0c0d0e0f"), "AES");
store.setKeyEntry("secret1", aesKey, "secretPwd1".toCharArray(), null);

SecretKeySpec edeKey = new SecretKeySpec(
            Hex.decode("0101020204040707080b0b0d0d0e0e"), "DESede");
store.setKeyEntry("secret2", edeKey, "secretPwd2".toCharArray(), null);



ByteArrayOutputStream bOut = new ByteArrayOutputStream();
store.store(bOut, passwd);
```

8 Key Transport Algorithms

The SC FIPS provider supports the following two key transport methods:

Algorithm Name	Low-level Class	JCE Name
RSA-KTS-KEM-KWS	FipsRSA	RSA-KTS-KEM-KWS
RSA-KTS-OAEP	FipsRSA	RSA-KTS-OAEP

Both algorithms are supported in the JCE using SecretKeyFactory implementations. Owing to it being used in RFC 5990, RSA-KTS-KEM-KWS is also support as a Cipher, although when used under those circumstances it is not possible to use it with key confirmation.

8.1 Examples

8.1.1 JCE KTS-KEM-KWS with key confirmation

Key transport with key confirmation requires the generation and checking of a MAC. The first method returns a secret key encapsulation and a MAC for delivery to the receiver. In the second method we see how a receiver might process the encapsulation and the MAC using their private key. The zeroizeMacKay() method is invoked in both the generation and extraction case once the MAC is calculated. This is done as it is both a good idea, and a requirement of FIPS SP 800-56B which defines the key transport algorithms.

```java
public void byte[][] getEncapsulationAndMac(RSAPublicKey receiverPublicKey)
{
    SecretKeyFactory kemFact =
            SecretKeyFactory.getInstance("RSA-KTS-KEM-KWS", "SCFIPS");
    KTSGenerateKeySpec genSpec = new KTSGenerateKeySpec.Builder(
            receiverPublicKey, "AES", 128).withMac("HmacSHA1", 160).build();

    KTSKeyWithEncapsulation encapsKey =
            (KTSKeyWithEncapsulation)kemFact.generateSecret(genSpec);

    SecretKey macKey = encapsKey.getMacKey();

    Mac mac = Mac.getInstance(macKey.getAlgorithm(), "SCFIPS");
    mac.init(macKey);

    DERMacData macData = new DERMacData.Builder(DERMacData.Type.UNILATERALU,
        Strings.toByteArray("U"), Strings.toByteArray("V"), null,
        encapsKey.getEncapsulation()).build();

    byte[] calcMac = mac.doFinal(macData.getMacData());

    encapsKey.zeroizeMacKey(); // explicitly erase mac key after use

    return new byte[] { encapsKey.getEncapsulation(), calcMac };
}

public void SecretKey extractKey(RSAPrivateKey receiverPrivKey,
                    byte[] encapsulation, byte[] mac)
```

```java
{
    SecretKeyFactory kemFact =
            SecretKeyFactory.getInstance("RSA-KTS-KEM-KWS", "SCFIPS");

    KTSExtractKeySpec extractSpec = new KTSExtractKeySpec.Builder(receiverPrivKey,
        encapsulation, "AES", 128).withMac("HmacSHA1", 160).build();

    KTSKeyWithEncapsulation encapsKey =
            (KTSKeyWithEncapsulation)kemFact1.generateSecret(extractSpec);

    SecretKey macKey = encapsKey.getMacKey();

    Mac mac = Mac.getInstance(macKey.getAlgorithm(), "SCFIPS");

    mac.init(macKey);

    DERMacData macData = new DERMacData.Builder(DERMacData.Type.UNILATERALU,
        Strings.toByteArray("U"), Strings.toByteArray("V"), null,
        encapsulation).build();

    byte[] calcMac = mac.doFinal(macData.getMacData());

    encapsKey.zeroizeMacKey(); // explicitly erase mac key after use

    if (!MessageDigest.isEqual(mac, calcMac))
    {
        throw new IllegalStateException("MAC check on KTS failed");
    }

    return encapsKey;
}
```

9 Key Wrapping Algorithms

Algorithms for key wrapping are supported for both asymmetric and symmetric keys.

9.1 Available in Approved Mode Operation

The following key wrapping techniques are available using symmetric ciphers in approved-mode.

Cipher	Algorithm	Low-level Class	JCE Name
AES	KW	FipsAES	AESKW, AESWrap
AES	KWP	FipsAES	AESKWP, AESWrapPad
DESede	TKW	FipsTripleDES	DESedeTKW, DESedeWrap

The following key wrapping techniques are available using asymmetric ciphers in approved-mode.

Cipher	Algorithm	Low-level Class	JCE Name
RSA	OAEP	FipsRSA	RSA/NONE/OAEPwithSHA1andMGF1Padding, RSA/NONE/OAEPwithSHA224andMGF1Padding, RSA/NONE/OAEPwithSHA256andMGF1Padding, RSA/NONE/OAEPwithSHA384andMGF1Padding, RSA/NONE/OAEPwithSHA512andMGF1Padding

9.2 Available in General Operation

Cipher	Algorithm	Low-level Class	JCE Name
AES	RFC3211	AES	AESRFC3211Wrap
Camellia	KW	Camellia	CamelliaKW, CamelliaWrap
Camellia	KWP	Camellia	CamelliaKWP, CamelliaWrapPad
DESede	RFC3211	TripleDES	DESedeRFC3211Wrap
DESede	RFC3217	TripleDES	DESedeRFC3217Wrap
SEED	KW	SEED	SEEDKW, SEEDWrap
SEED	KWP	SEED	SEEDKWP, SEEDWrapPad
Serpent	KW	Serpent	SerpentKW, SerpentWrap
Serpent	KWP	Serpent	SerpentKWP, SerpentWrapPad
Twofish	KW	Twofish	TwofishKW, TwofishWrap
Twofish	KWP	Twofish	TwofishKWP,

Cipher	Algorithm	Low-level Class	JCE Name
			TwofishWrapPad

The following key wrapping techniques are available using asymmetric ciphers in general-mode operation.

Cipher	Algorithm	Low-level Class	JCE Name
ElGamal	OAEP	ElGamal	ElGamal/NONE/OAEPwithSHA1andMGF1Padding, ElGamal/NONE/OAEPwithSHA224andMGF1Padding, ElGamal/NONE/OAEPwithSHA256andMGF1Padding, ElGamal/NONE/OAEPwithSHA384andMGF1Padding, ElGamal/NONE/OAEPwithSHA512andMGF1Padding
ElGamal	PKCS#1	ElGamal	ElGamal/NONE/PKCS1Padding
RSA	PKCS#1	RSA	RSA/NONE/PKCS1Padding

9.3 Examples

9.3.1 Key Wrapping using RSA

The following snippet shows an example of using RSA with OAEP to wrap a secret key in the low-level API. Note: that in both the wrapping and unwrapping process a SecureRandom is required in order to facilitate RSA blinding on the decryption.

```java
public byte[] wrapKey(AsymmetricRSAPublicKey pubKey, byte[] inputKeyBytes)
{
    FipsRSA.KeyWrapOperatorFactory wrapFact =
                    new FipsRSA.KeyWrapOperatorFactory();

    FipsKeyWrapperUsingSecureRandom wrapper =
        wrapFact.createKeyWrapper(pubKey, FipsRSA.WRAP_OAEP)
                .withSecureRandom(new SecureRandom());

    return wrapper.wrap(inputKeyBytes, 0, inputKeyBytes.length);
}
```

The following snippet shows an example of unwrapping a wrapped secret key that has been wrapped using RSA with OAEP in the low-level API.

```java
public byte[] unwrapKey(AsymmetricRSAPrivateKey privKey, byte[] wrappedKeyBytes)
{
    FipsRSA.KeyWrapOperatorFactory wrapFact =
                    new FipsRSA.KeyWrapOperatorFactory();

    FipsKeyUnwrapperUsingSecureRandom unwrapper =
        wrapFact.createKeyUnwrapper(privKey, FipsRSA.WRAP_OAEP)
                .withSecureRandom(new SecureRandom());

    return wrapper.unwrap(wrappedKeyBytes, 0, wrappedKeyBytes.length);
}
```

9.3.2 Key Wrapping using AES

In the following example the contents of the byte array inputKeyBytes will be wrapped using the KW key wrapping technique from FIPS SP800-38F.

```
byte[] inputKeyBytes = …; // bytes making up the key to be wrapped

byte[] keyBytes = …; // bytes making up AES key doing the wrapping

SymmetricKey aesKey = new SymmetricSecretKey(FipsAES.KW, keyBytes);

FipsAES.KeyWrapOperatorFactory factory = new FipsAES.KeyWrapOperatorFactory();

KeyWrapper wrapper = factory.createKeyWrapper(aesKey, FipsAES.KW);

byte[] wrappedBytes = wrapper.wrap(inputKeyBytes, 0, inputKeyBytes.length);
```

9.3.3 JCE Key Wrapping using ElGamal

The following snippet shows an example of using ElGamal with OAEP to wrap a secret key.

```
public byte[] wrapKey(DHPublicKey pubKey, SecretKey keyToBeWrapped)
    throws Exception
{
   Cipher c = Cipher.getInstance(
         "ElGamal/NONE/OAEPwithSHA256andMGF1Padding", "SCFIPS");


    c.init(Cipher.WRAP_MODE, pubKey);


   return c.wrap(keyToBeWrapped);
}
```

The following snippet shows an example of unwrapping a wrapped secret key that has been wrapped using ElGamal with OAEP.

```
public SecretKey unwrapKey(
      DHPrivateKey privKey, byte[] wrappedKey, String wrappedKeyAlgorithm)
    throws Exception
{
   Cipher c = Cipher.getInstance(
         "ElGamal/NONE/OAEPwithSHA256andMGF1Padding", "SCFIPS");

   c.init(Cipher.UNWRAP_MODE, privKey);

   return (SecretKey)c.unwrap(out, wrappedKeyAlgorithm, Cipher.SECRET_KEY);
}
```

9.3.4 JCE Key Wrapping using Camellia with padding

In the below snippets it is assumed secKey is a valid Camellia key. It is also worth keeping in mind that keyToBeWrapped can also be a PublicKey or PrivateKey.

The first snippet shows a simple function which wraps a key – keyToBeWrapped.

```
public byte[] wrapKey(SecretKey secKey, SecretKey keyToBeWrapped)
    throws Exception
{
   Cipher c = Cipher.getInstance(
         "CamelliaWrapPad", "SCFIPS");


    c.init(Cipher.WRAP_MODE, secKey);


   return c.wrap(keyToBeWrapped);
}
```

The second snippet shows the key unwrap process. The JCE also requires an algorithm name and

key type (in this case Cipher.SECRET_KEY) to unwrap a key.

```
public SecretKey unwrapKey(
        SecretKey secKey, byte[] wrappedKey, String wrappedKeyAlgorithm)
    throws Exception
{
    Cipher c = Cipher.getInstance(
            "CamelliaWrapPad", "SCFIPS");

    c.init(Cipher.UNWRAP_MODE, secKey);

    return (SecretKey)c.unwrap(out, wrappedKeyAlgorithm, Cipher.SECRET_KEY);
}
```

10 Mac Algorithms

A broad range of MAC and HMAC algorithms are supported by the SC FIPS provider.

10.1 Available in Approved Mode Operation

MAC Name	Low-level Class	JCE Name
AES CCM MAC	FipsAES	CCMMAC, AESCCMMAC, AES-CCMMAC
AES CMAC	FipsAES	CMAC, AESCMAC, AES-CMAC
AES GMAC	FipsAES	GMAC, AESGMAC, AES-GMAC
HMAC SHA-1	FipsSHS	HmacSHA1, Hmac128SHA1
HMAC SHA-224	FipsSHS	HmacSHA224, Hmac128SHA224
HMAC SHA-256	FipsSHS	HmacSHA256, Hmac128SHA256
HMAC SHA-384	FipsSHS	HmacSHA384, Hmac256SHA384
HMAC SHA-512	FipsSHS	HmacSHA512, Hmac256SHA512
HMAC SHA-512(224)	FipsSHS	HmacSHA512(224), Hmac128SHA512(224)
HMAC SHA-512(256)	FipSHS	HmacSHA512(256), Hmac128SHA512(256)
Triple-DES CMAC	FipsTripleDES	DESedeCMAC, DESede-CMAC

HMAC algorithms in the form of HmacNdigestName where N is a number, produce truncated versions of the HMAC in question. The right most bits are truncated as per the NIST standards.

10.2 Available in General Operation

MAC Name	Low-level Class	JCE Name
Blowfish CMAC	Blowfish	BlowfishCMAC, Blowfish-CMAC
Camellia CCM MAC	Camellia	CamelliaCCMMAC, Camellia-CCMMAC
Camellia CMAC	Camellia	CamelliaCMAC, Camellia-CMAC
Camellia GMAC	Camellia	CamelliaGMAC, Camellia-GMAC
CAST5 CMAC	CAST5	CAST5CMAC, CAST5-CMAC
DES CBC MAC	DES	DESMAC
DES CFB8 MAC	DES	DESMAC/CFB8
DES MAC 64	DES	DESMAC64
DES MAC 64 with ISO7816-4 padding	DES	DESMAC64WITHISO7816-4PADDING
DES ISO9797 MAC	DES	ISO9797ALG3MAC

MAC Name	Low-level Class	JCE Name
DES ISO9797 MAC with ISO7816-4 padding	DES	ISO9797ALG3WITHISO7816-4PADDING
GOST28147 MAC	GOST28147	GOST28147MAC
HMAC GOST3411	SecureHash	HmacGOST3411
HMAC RIPEMD128	SecureHash	HmacRIPEMD128
HMAC RIPEMD160	SecureHash	HmacRIPEMD160
HMAC RIPEMD256	SecureHash	HmacRIPEMD256
HMAC RIPEMD320	SecureHash	HmacRIPEMD320
HMAC Tiger	SecureHash	HmacTiger
HMAC Whirlpool	SecureHash	HmacWhirlpool
IDEA CMAC	IDEA	IDEACMAC, IDEA-CMAC
IDEA CBC MAC	IDEA	IDEAMAC
IDEA CFB8 MAC	IDEA	IDEAMAC/CFB8
SEED CCMMAC	SEED	SEEDCCMMAC, SEED-CCMMAC
SEED CMAC	SEED	SEEDCMAC, SEED-CMAC
SEED GMAC	SEED	SEEDGMAC, SEED-GMAC
Serpent CCM MAC	Serpent	SerpentCCMMAC, Serpent-CCMMAC
Serpent CMAC	Serpent	SerpentCMAC, Serpent-CMAC
Serpent GMAC	Serpent	SerpentGMAC, Serpent-GMAC
SHACAL-2 CMAC	SHACAL2	SHACAL-2CMAC, SHACAL-2-CMAC
Triple-DES CBC MAC	TripleDES	DESedeMAC
Triple-DES CFB8 MAC	TripleDES	DESedeMAC/CFB8
Triple-DES CBC MAC 64	TripleDES	DESedeMAC64
Triple-DES CBC MAC 64 with ISO7816-4 padding	TripleDES	DESedeMAC64withISO7816-4Padding
Twofish CCM MAC	Twofish	TwofishCCMMAC, Twofish-CCMMAC
Twofish CMAC	Twofish	TwofishCMAC, Twofish-CMAC
Twofish GMAC	Twofish	TwofishGMAC, Twofish-GMAC

10.3 Examples

10.3.1 AES using CMAC – 64 bit.

The following snippet shows a function returning a 64 bit CMAC MAC produced from the passed in aesKey and data.

```
public byte[] createMac(SymmetricKey aesKey, byte[] data)
    throws IOException
{
    FipsMACOperatorFactory<FipsAES.AuthParameters> fipsSymmetricFactory =
                        new FipsAES.MACOperatorFactory();
```

```
FipsOutputMACCalculator<FipsAES.AuthParameters> macCalculator =
    fipsSymmetricFactory.createOutputMACCalculator(
            aesKey, FipsAES.CMAC.withMACSize(64));
OutputStream sOut = macCalculator.getMACStream();
sOut.write(data);
sOut.close();

return macCalculator.getMAC();
}
```

10.3.2 JCE HMAC-SHA256

The following snippet provides a function using the JCE APIs to do HMAC-SHA256 from the passed in HMAC key and data.

```
public byte[] createMac(SecretKey hmacKey, byte[] data)
    throws Exception
{
    Mac mac = Mac.getInstance("HmacSHA256, "SCFIPS");

    mac.init(hmacKey, name));

    return mac.doFinal(data);
}
```

11 Message Digest Algorithms

The SC FIPS provider supports the full suite of NIST digests up to FIPS PUB-202 (SHA-3), as well a variety of common ones used by other standards.

11.1 Available in Approved Mode Operation

Digest Name	Low-level Class	JCA Name
SHA-1	FipsSHS	SHA-1, SHA1
SHA-224	FipsSHS	SHA-224, SHA224
SHA-256	FipsSHS	SHA-256, SHA256
SHA-384	FipsSHS	SHA-384, SHA384
SHA-512	FipsSHS	SHA-512, SHA512
SHA-512(224)	FipsSHS	SHA-512(224), SHA512(224)
SHA-512(256)	FipSHS	SHA-512(256), SHA512(256)
SHA3-224	FipsSHS	SHA3-224
SHA3-256	FipsSHS	SHA3-256
SHA3-384	FipsSHS	SHA3-384
SHA3-512	FipsSHS	SHA3-512
SHAKE128	FipsSHS	N/A
SHAKE256	FipsSHS	N/A

11.2 Available in General Operation

Digest Name	Low-level Class	JCA Name
GOST3411	SecureHash	GOST3411
RIPEMD128	SecureHash	RIPEMD128
RIPEMD160	SecureHash	RIPEMD160
RIPEMD256	SecureHash	RIPEMD256
RIPEMD320	SecureHash	RIPEMD320
Tiger	SecureHash	Tiger
Whirlpool	SecureHash	Whirlpool

11.3 Examples

11.3.1 Use of SHA-256

The following example shows the creation and use of a digest calculator for SHA-256 using a byte array as input.

```
byte[] input = …;
FipsDigestOperatorFactory<FipsSHS.Parameters> factory =
                new FipsSHS.OperatorFactory<FipsSHS.Parameters>();

OutputDigestCalculator<FipsSHS.Parameters> calculator =
    factory.createOutputDigestCalculator(FipsSHS.SHA_256);

OutputStream digestStream = calculator.getDigestStream();
digestStream.write(input);
digestStream.close();

byte[] digest = calculator.getDigest();
```

11.3.2 Use of SHAKE128

The following example shows the creation and use of the expandable output function SHAKE128 from the SHA3 family, again using a byte array as input and producing 100 bytes of output.

```
byte[] input = …;
FipsXOFOperatorFactory<FipsSHS.Parameters> factory =
                new FipsSHS.XOFOperatorFactory<FipsSHS.Parameters>();

OutputXOFCalculator<FipsSHS.Parameters> calculator =
    factory.createOutputXOFCalculator(FipsSHS.SHAKE128);

OutputStream xofStream = calculator.getFunctionStream();
xofStream.write(input);
xofStream.close();

byte[] output = calculator.getFunctionOutput(100);
```

An interesting feature of the expandable output functions is that you can keep going, hence another call like:

```
byte[] extra = calculator.getFunctionOutput(100);
```

will just grab another 100 bytes from the function stream. The calculator will keep producing output until either reset() is called or getFunctionStream() is called again (this will trigger a reset as more input will be assumed).

11.3.3 JCA use of SHA3-224

All digests are available using MessageDigest.getInstance() and their JCA name as follows:

```
byte[] input = …;
MessageDigest digest = MessageDigest.getInstance("SHA3-224", "SCFIPS");
```

```java
byte[] result = digest.digest(input);
```

12 Password Based Key Derivation Functions

PBKD functions are supported from the PKCS standards as well as OpenSSL. It is important to note that only PBKDF2 is approved for use in FIPS mode of operation and that this affects the use of things such as PKCS#12 files, which cannot be used in FIPS mode as a result.

12.1 Available in Approved Mode Operation

Algorithm	Byte Encoding Used	Digest for PRF	Low-level Class	JCE Name
PBKDF2	UTF-8	SHA-1	FipsPBKD	PBKDF2, PBKDF2withHmacSHA1
PBKDF2	8-BIT/ASCII	SHA-1	FipsPBKD	PBKDF2with8BIT
PBKDF2	UTF-8	SHA-224	FipsPBKD	PBKDF2withHmacSHA224
PBKDF2	UTF-8	SHA-256	FipsPBKD	PBKDF2withHmacSHA256
PBKDF2	UTF-8	SHA-384	FipsPBKD	PBKDF2withHmacSHA384
PBKDF2	UTF-8	SHA-512	FipsPBKD	PBKDF2withHmacSHA512

12.2 Available in General Operation

Algorithm	Byte Encoding Used	Digest for PRF	Low-level Class	JCE Name
OpenSSL	8-BIT/ASCII	MD5	PBKD	PBKDF-OpenSSL
PBKDF2	UTF-8	GOST-3411	PBKD	PBKDF2withHmacGOST3411
PBKDF1	8-BIT/ASCII	SHA-1	PBKD	PBEwithSHA1andDES
PBKDF1	8-BIT/ASCII	MD5	PBKD	PBEwithMD5andDES
PBKDF1	8-BIT/ASCII	SHA-1	PBKD	PBEwithSHA1andRC2
PBKDF1	8-BIT/ASCII	MD5	PBKD	PBEwithMD5andRC2
PKCS#12	PKCS#12	SHA-1	PBKD	PBKDF-PKCS12
PKCS#12	PKCS#12	SHA-256	PBKD	PBKDF-PKCS12withSHA256
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and40bitRC4
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and128bitRC4
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and40bitRC2
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and128bitRC2
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and2-KeyDESede
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and3-KeyDESede
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and128BitAES-BC
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and192BitAES-BC
PKCS#12	PKCS#12	SHA-1	PBKD	PBEwithSHA1and256BitAES-BC

Algorithm	Byte Encoding Used	Digest for PRF	Low-level Class	JCE Name
PKCS#12	PKCS#12	SHA-256	PBKD	PBEwithSHA256and128BitAES-BC
PKCS#12	PKCS#12	SHA-256	PBKD	PBEwithSHA256and192BitAES-BC
PKCS#12	PKCS#12	SHA-256	PBKD	PBEwithSHA256and256BitAES-BC

12.3 Examples

12.3.1 PBKDF2

The following snippet shows the generation of key material using PKCS#5's PBKDF2 function with HMAC SHA-256 being used as the pseudo-random-function underlying the key material generator and password, iterationCount, and salt providing the inputs.

```java
public byte[] getSecretKey(char[] password, int iterationCount, byte[] salt,
                                            int keySizeInBits)
{
    PasswordBasedDeriver deriver = new FipsPBKD.DeriverFactory().createDeriver(
            FipsPBKD.PBKDF2.using(FipsSHS.Algorithm.SHA256_HMAC,
                    PasswordConverter.UTF8.convert(password))
                .withIterationCount(iterationCount)
                .withSalt(salt)
    );
    return deriver.deriveKey(PasswordBasedDeriver.KeyType.CIPHER,
                                (keySizeInBits + 7) / 8);
}
```

The KeyType parameter above can also be set to KeyType.MAC. In some cases, such as PKCS#12, this will affect the values in the key material that is generated. In the case of PBKDF2 the type parameter is ignored.

12.3.2 JCE PBKDF2

The following examples shows the same function as described in 11.3.1, except in this case it is defined in the context of the JCE.

```java
public SecretKey getSecretKey(char[] password, int iterationCount, byte[] salt,
                                            int keySizeInBits)

{
    SecretKeyFactory keyFact = SecretKeyFactory.getInstance(
                    "PBKDF2WITHHMACSHA256", "SCFIPS");
    PBEKeySpec pbeSpec = new PBEKeySpec(password, salt, iterationCount, keySizeInBits);

    return keyFact.generateSecret(pbeSpec);
}
```

13 Random Number Generators

For the most part SecureRandom objects need to be created using the low-level class as the JCA does not provide the ability to configure them and this is required for both FIPS and X9.31.

13.1 Available in Approved Mode Operation

The following DRBG types can be constructed in approved mode of operation.

DRBG Name	Low-level Class
HASH DRBG	FipsDRBG
HMAC DRBG	FipsDRBG
CTR DRBG	FipsDRBG

The SpongyCastleFipsProvider also makes available a single SecureRandom which is based on the provider's configuration and uses an approved mode DRGB. You can get access to the provider SecureRandom using the name "DEFAULT", as in:

```
SecureRandom random = SecureRandom.getInstance( "DEFAULT" , "SCFIPS" );
```

13.2 Available in General Operation

The X9.31 PRNG is available in general operation, both Triple-DES and AES are supported.

DRBG Name	Low-level Class
X9.31	X931PRNG

13.3 Examples

13.3.1 Creation for SHA512 DRGB

This example creates a DRGB based on a default SecureRandom, in this case the DRGB will reseed itself using the generateSeed() method on the SecureRandom, and the nonce is generated using one of the recommended techniques in NIST SP 800-90A, a string of bits from the entropy source of ½ the security strength in size.

```
byte[] personalizationString = Strings.toUTF8ByteArray(new VMID().toString());

SecureRandom entropySource = new SecureRandom();

SecureRandom random = FipsDRBG.SHA512.fromEntropySource(entropySource,true)
    .setPersonalizationString(personalizationString))
     .build(
      entropySource.generateSeed((256 / (2 * 8))),
      true,
      Strings.toByteArray("Additional Input"));
```

13.3.2 Creation for AES X9.31

The following example creates a X9.31 PRNG base on a default SecureRandom.

```
X931PRNG.Builder bld = X931PRNG.AES_128.fromEntropySource(new
SecureRandom(),true);
bld.setDateTimeVector(Hex.decode("259e67249288597a4d61e7c0e690afae"));

SecureRandom rand = bld.build(
                new SymmetricSecretKey(X931PRNG.AES_128,
                Hex.decode("f7d36762b9915f1ed585eb8e91700eb2")),
                    false);
```

14 Signature Algorithms

A range of signature algorithms are available both in the low-level API and the provider. Some non-FIPS variations of RSA, DSA, and ECDSA are also available in the general operation set.

14.1 Available in Approved Mode Operation

In approved mode of operation DSA keys of 1024 bits are supported for signature verification only.

14.1.1 DSA

Signature	Low-level Class	JCA Name
SHA1 with DSA	FipsDSA	SHA1withDSA
SHA224 with DSA	FipsDSA	SHA224withDSA
SHA256 with DSA	FipsDSA	SHA256withDSA
SHA384 with DSA	FipsDSA	SHA384withDSA
SHA512 with DSA	FipsDSA	SHA512withDSA
SHA512(224) with DSA	FipsDSA	SHA512(224)withDSA
SHA512(256) with DSA	FipsDSA	SHA512(256)withDSA

14.1.2 EC

Signature	Low-level Class	JCA Name
SHA1 with ECDSA	FipsEC	SHA1withECDSA
SHA224 with ECDSA	FipsEC	SHA224withECDSA
SHA256 with ECDSA	FipsEC	SHA256withECDSA
SHA384 with ECDSA	FipsEC	SHA384withECDSA
SHA512 with ECDSA	FipsEC	SHA512withECDSA
SHA512(224) with ECDSA	FipsEC	SHA512(224)withECDSA
SHA512(256) with ECDSA	FipsEC	SHA512(256)withECDSA

14.1.3 RSA

In approved mode of operation RSA keys of 1024 bit are supported for signature verification only.

14.1.3.1 PKCS1.5

Signature	Low-level Class	JCA Name
SHA1 with RSA	FipsRSA	SHA1withRSA
SHA224 with RSA	FipsRSA	SHA224withRSA

Signature	Low-level Class	JCA Name
SHA256 with RSA	FipsRSA	SHA256withRSA
SHA384 with RSA	FipsRSA	SHA384withRSA
SHA512 with RSA	FipsRSA	SHA512withRSA
SHA512(224) with RSA	FipsRSA	SHA512(224)withRSA
SHA512(256) with RSA	FipsRSA	SHA512(256)withRSA

14.1.3.2 PSS

Signature	Low-level Class	JCA Name
PSS SHA1 with RSA	FipsRSA	SHA1withRSAandMGF1, SHA1withRSA/PSS
PSS SHA224 with RSA	FipsRSA	SHA224withRSAandMGF1, SHA224withRSA/PSS
PSS SHA256 with RSA	FipsRSA	SHA256withRSAandMGF1, SHA256withRSA/PSS
PSS SHA384 with RSA	FipsRSA	SHA384withRSAandMGF1, SHA384withRSA/PSS
PSS SHA512 with RSA	FipsRSA	SHA512withRSAandMGF1, SHA512withRSA/PSS
PSS SHA512(224) with RSA	FipsRSA	SHA512(224)withRSAandMGF1, SHA512(224)withRSA/PSS
PSS SHA512(256) with RSA	FipsRSA	SHA512(256)withRSAandMGF1, SHA512(256)withRSA/PSS

14.1.3.3 X9.31

Signature	Low-level Class	JCA Name
X9.31 SHA1 with RSA	FipsRSA	SHA1withRSA/X9.31
X9.31 SHA224 with RSA	FipsRSA	SHA224withRSA/X9.31
X9.31 SHA256 with RSA	FipsRSA	SHA256withRSA/X9.31
X9.31 SHA384 with RSA	FipsRSA	SHA384withRSA/X9.31
X9.31 SHA512 with RSA	FipsRSA	SHA512withRSA/X9.31
X9.31 SHA512(224) with RSA	FipsRSA	SHA512(224)withRSA/X9.31
X9.31 SHA512(256) with RSA	FipsRSA	SHA512(256)withRSA/X9.31

14.2 Available in General Operation

14.2.1 DSA

14.2.1.1 Regular DSA

There are currently no extra JCA DSA signature types available in general mode operation.

14.2.1.2 Deterministic DSA

Signature	Low-level Class	JCA Name
Deterministic SHA1 with DSA	DSA	SHA1withDDSA
Deterministic SHA224 with DSA	DSA	SHA224withDDSA
Deterministic SHA256 with DSA	DSA	SHA256withDDSA
Deterministic SHA384 with DSA	DSA	SHA384withDDSA
Deterministic SHA512 with DSA	DSA	SHA512withDDSA
Deterministic SHA512(224) with DSA	DSA	SHA512(224)withDDSA
Deterministic SHA512(256) with DSA	DSA	SHA512(256)withDDSA

14.2.2 DSTU4145

Signature	Low-level Class	JCA Name
GOST3411 with DSTU4145	DSTU4145	GOST3411withDSTU4145
GOST3411 with DSTU4145 little endian	DSTU4145	GOST3411withDSTU4145LE

14.2.3 ECDSA

14.2.3.1 Regular ECDSA

Signature	Low-level Class	JCA Name
RipeMD160 with ECDSA	EC	RipeMD160withECDSA

14.2.3.2 Deterministic ECDSA

Signature	Low-level Class	JCA Name
Deterministic SHA1 with ECDSA	EC	SHA1withECDDSA
Deterministic SHA224 with ECDSA	EC	SHA224withECDDSA
Deterministic SHA256 with ECDSA	EC	SHA256withECDDSA
Deterministic SHA384 with ECDSA	EC	SHA384withECDDSA
Deterministic SHA512 with ECDSA	EC	SHA512withECDDSA
Deterministic SHA512 with ECDSA	EC	SHA512(224)withECDDSA

Signature	Low-level Class	JCA Name
Deterministic SHA512 with ECDSA	EC	SHA512(256)withECDDSA

14.2.4 GOST3410-1994

Signature	Low-level Class	JCA Name
GOST3411 with GOST3410-1994	GOST3410	GOST3411withGOST3410

14.2.5 GOST3410-2001

Signature	Low-level Class	JCA Name
GOST3411 with GOST3410-2001	ECGOST3410	GOST3411withECGOST3410

14.2.6 RSA

14.2.6.1 ISO9796-2

Signature	Low-level Class	JCA Name
ISO9796-2 SHA1 with RSA	RSA	SHA1withRSA/ISO9796-2
ISO9796-2 SHA224 with RSA	RSA	SHA224withRSA/ISO9796-2
ISO9796-2 SHA256 with RSA	RSA	SHA256withRSA/ISO9796-2
ISO9796-2 SHA384 with RSA	RSA	SHA384withRSA/ISO9796-2
ISO9796-2 SHA512 with RSA	RSA	SHA512withRSA/ISO9796-2
ISO9796-2 SHA512(224) with RSA	RSA	SHA512(224)withRSA/ISO9796-2
ISO9796-2 SHA512(256) with RSA	RSA	SHA512(256)withRSA/ISO9796-2
ISO9796-2 RIPEMD128 with RSA	RSA	RIPEMD128withRSA/ISO9796-2
ISO9796-2 RIPEMD160 with RSA	RSA	RIPEMD160withRSA/ISO9796-2

14.2.6.2 ISO9796-2/PSS

Signature	Low-level Class	JCA Name
ISO9796-2 PSS SHA1 with RSA	RSA	SHA1withRSA/ISO9796-2PSS
ISO9796-2 PSS SHA224 with RSA	RSA	SHA224withRSA/ISO9796-2PSS
ISO9796-2 PSS SHA256 with RSA	RSA	SHA256withRSA/ISO9796-2PSS

Signature	Low-level Class	JCA Name
ISO9796-2 PSS SHA384 with RSA	RSA	SHA384withRSA/ISO9796-2PSS
ISO9796-2 PSS SHA512 with RSA	RSA	SHA512withRSA/ISO9796-2PSS
ISO9796-2 PSS SHA512(224) with RSA	RSA	SHA512(224)withRSA/ISO9796-2PSS
ISO9796-2 PSS SHA512(256) with RSA	RSA	SHA512(256)withRSA/ISO9796-2PSS
ISO9796-2 PSS RIPEMD128 with RSA	RSA	RIPEMD128withRSA/ISO9796-2PSS
ISO9796-2 PSS RIPEMD160 with RSA	RSA	RIPEMD160withRSA/ISO9796-2PSS

14.2.6.3 PKCS1.5

Signature	Low-level Class	JCA Name
MD5 with RSA	RSA	MD5withRSA
RIPEMD128 with RSA	RSA	RIPEMD128withRSA
RIPEMD160 with RSA	RSA	RIPEMD160withRSA
RIPEMD256 with RSA	RSA	RIPEMD256withRSA

14.2.6.4 PSS

There are currently no extra JCA PSS signature types available in general mode operation.

14.2.6.5 X9.31

Signature	Low-level Class	JCA Name
X9.31 RIPEMD128 with RSA	RSA	RIPEMD128withRSA/X9.31
X9.31 RIPEMD160 with RSA	RSA	RIPEMD160withRSA/X9.31
X9.31 Whirlpool with RSA	RSA	WhirlpoolwithRSA/X9.31

14.3 Examples

14.3.1 RSA with SHA-1

The following sample shows a method for generating a signature in PKCS#1 v1.5 format.

Note: while PKCS#1 v1.5 signing does not use random data in the actual signature calculation, a SecureRandom is required in this case to provide blinding to help protect the private key.

```
public byte[] signData(AsymmetricRSAPrivateKey privKey, byte[] data)
    throws Exception
{
  FipsRSA.SignatureOperatorFactory signatureOperatorFactory =
                  new FipsRSA.SignatureOperatorFactory();
```

```
OutputSignerUsingSecureRandom<FipsRSA.PKCS1v15SignatureParameters> rsaSig =
        signatureOperatorFactory.createSigner(privKey,
        FipsRSA.PKCSv1_5.withDigestAlgorithm(
                                        FipsSHS.Algorithm.SHA1));

rsaSig = rsaSig.withSecureRandom(new SecureRandom());

OutputStream sOut = rsaSig.getSigningStream();
sOut.write(data, 0, data.length);
sOut.close();

return rsaSig.getSignature();
}
```

The following method can be used to verify the signature return by signData providing pubKey is the public key corresponding to privKey used in the signData method.

```
public boolean isVerified(
        AsymmetricRSAPublicKey pubKey, byte[] signature, byte[] data)
    throws Exception
{
    FipsRSA.SignatureOperatorFactory signatureOperatorFactory =
                    new FipsRSA.SignatureOperatorFactory();

    OutputVerifier<FipsRSA.PKCS1v15SignatureParameters> rsaVer =
            signatureOperatorFactory.createVerifier(rsaPublic,
                FipsRSA.PKCSv1_5.withDigestAlgorithm(
                                        FipsSHS.Algorithm.SHA1));

    OutputStream vOut = rsaVer.getVerifyingStream();
    vOut.write(data, 0, data.length);
    vOut.close();

    return rsaVer.isVerified(signature);
}
```

14.3.2 JCA ECDSA with SHA-256

The following sample shows a method for generating an ECDSA signature with SHA-256 using the JCA provider. This method will use the provider default SecureRandom for generating the random component for the ECDSA signature.

```
public byte[] signData(ECPrivateKey privKey, byte[] data)
    throws Exception
{
    Signature sig = Signature.getInstance("SHA256withECDSA", "SCFIPS");

    sig.initSign(privKey);
    sig.update(dummySha1);

    return sig.sign();
}
```

The following method can be used to verify the signature return by signData providing pubKey is the public key corresponding to privKey used in the signData method.

```
public boolean isVerified(ECPublicKey pubKey, byte[] signature, byte[] data)
    throws Exception
{
```

```java
    Signature sig = Signature.getInstance("SHA256withECDSA", "SCFIPS");

    sig.initVerify(pubKey);

    sig.update(data);

    return sig.verify((signature);
}
```

Appendix A – System Properties

By default all the below properties are assumed to be false.

krsa.allow_multi_use – in approved/unapproved mode the module will attempt to block an RSA modulus from being used for encryption if it has been used for signing, or visa-versa. If the module is not in approved mode it is possible to stop this from happening by setting **org.spongycastle.rsa.allow_multi_use** to **true.**

org.spongycastle.dsa.FIPS186-2for1024bits – this property only has an effect in unapproved mode. If legacy DSA parameters must be generated and the parameter size is 1024 setting this property to **true** will result in the FIPS 186-2 algorithm being used for parameter generation.

org.spongycastle.jsse.disable_kdf – the SCFIPS module provides its own interpretation of the standard JSSE KDF generators. At the time of writing the parameters for these are mainly defined using internal classes, which may change. Setting this property to **true** will result in the SpongyCastleFipsProvider not making the JSSE KDFs available which will cause the JSSE to fall back to its own KDFs if it is not running in FIPS mode.

org.spongycastle.pkix.disable_certpath – in some cases it's easier to use the default CertPath implementation, rather than the one provided in the module. Setting this property to **true** will result in the SCFIPS CertPathValidator and CertPathBuilder not being created in an SpongyCastleFipsProvider that is instanced after the property is set.

org.spongycastle.tripledes.allow_weak – setting this property to **true** will allow the use of TripleDES weak keys. This is only present as it is a requirement for CAVP testing.

org.spongycastle.ec.disable_mqv – setting this property to **true** will disable support for EC MQV.

org.spongycastle.pkcs1.not_strict – some other providers of cryptography services fail to produce PKCS1 encoded block that are the correct length. Setting this property to **true** will relax the conformance check on the block length.

Appendix B – Policy Permissions

If the SC FIPS module is used in association with a SecurityManager there are some Java permissions that need to be set in applications policy file, together with some optional ones that are specific to the SC FIPS module.

B.1 Java Permissions

In order to do the checksum validation of the jar

    permission java.lang.RuntimePermission "getProtectionDomain";

needs to be enabled in order for the module jar to examine its own contents.

In order to check for configuration properties the policy permission:

    permission java.util.PropertyPermission "java.runtime.name", "read";

also need to be provided.

If the JCA/JCE provider is to be installed during execution, the policy permission:

    permission java.security.SecurityPermission "putProviderProperty.SCFIPS";

is also required.

B.2 Optional Permissions

B.2.1 Configuration of Approved/Unapproved Modes

CryptoServicesRegistrar calculates the default mode of operation based on the granting of

permission
   org.spongycastle.crypto.CryptoServicesPermission "unapprovedModeEnabled";

If this permission is granted by the security manager, then the JVM will start threads in a default of unapproved mode.

If this permission is not granted by the security manager, then the JVM will start threads in the approved mode only.

B.2.2 Use of CryptoServicesRegistrar.setApprovedMode(true)

If the JVM has been granted the use of unapproved mode services then a thread may move into approved mode by calling CryptoServicesRegistrar.setApprovedMode(true) if the permission:

permission
 org.spongycastle.crypto.CryptoServicesPermission "changeToApprovedModeEnabled";

is granted.

If the permission is not granted together and a thread is not already in approved mode then the call to CryptoServicesRegistrar.setApprovedMode(true) will result in an exception being thrown.

B.2.3 Key Export and Translation

 permission org.spongycastle.crypto.CryptoServicesPermission "exportSecretKey";

and

 permission org.spongycastle.crypto.CryptoServicesPermission "exportPrivateKey";

or

 permission org.spongycastle.crypto.CryptoServicesPermission "exportKeys";

are required to do any exporting of CSPs outside of the module. These permissions are also required to be set to allow repackaging of keys between layers.

If neither of these permissions are set it is possible to import keys into the module and to generate keys within it, however without them the private values can never be displayed or persisted.

B.2.4 SSL Support

RSA PKCS#1.5 key wrap, NONEwithDSA, NONEwithECDSA, and NONEwithRSA require 2 additional policy settings if the SCFIPS provider is run with a SecurityManager present and in "FIPS only" mode – as a rule these algorithms are not FIPS approved, except where used for TLS and the policy settings reflect this. In the most general case this will need:

permission
    org.spongycastle.crypto.CryptoServicesPermission "tlsAlgorithmsEnabled";

which is the same as setting the following two permissions together:

permission
    org.spongycastle.crypto.CryptoServicesPermission "tlsNullDigestEnabled";

permission
    org.spongycastle.crypto.CryptoServicesPermission "tlsPKCS15KeyWrapEnabled";

B.2.5 Setting of Default SecureRandom

Permission is required for threads to set the default secure random in the presence of a security manager.

The following permission is required to set the default secure random using the CryptoServicesRegistrar

```
permission
   org.spongycastle.crypto.CryptoServicesPermission "defaultRandomConfig";
```

B.2.6 Setting CryptoServicesRegistrar Properties

Permissions are required for threads to either set thread local properties or global properties in the CryptoServicesRegistrar. Possession of permission to set a global property in the CryptoServicesRegistrar automatically implies permission to set a thread local property.

The following permission is required to set a thread local property on the CryptoServicesRegistrar

```
permission
   org.spongycastle.crypto.CryptoServicesPermission "threadLocalConfig";
```

The following permission is required to set a global property on the CryptoServicesRegistrar

```
permission
   org.spongycastle.crypto.CryptoServicesPermission "globalConfig";
```

Appendix C – Built in Curves

The following curves are available in general mode operation for ECDSA and/or ECDH/ECCDH/ECMQV. In the case of approved only mode, only curves offering a security level of 112 bits or greater can be used.

Name	IDs	OID
ANSSI FRP 256v1	FRP256v1	1.2.250.1.223.101.256.1
ECC Brainpool P160r1	brainpoolp160r1	1.3.36.3.3.2.8.1.1.1
ECC Brainpool P160t1	brainpoolp160t1	1.3.36.3.3.2.8.1.1.2
ECC Brainpool P192r1	brainpoolp192r1	1.3.36.3.3.2.8.1.1.3
ECC Brainpool P192t1	brainpoolp192t1	1.3.36.3.3.2.8.1.1.4
ECC Brainpool P224r1	brainpoolp224r1	1.3.36.3.3.2.8.1.1.5
ECC Brainpool P224t1	brainpoolp224t1	1.3.36.3.3.2.8.1.1.6
ECC Brainpool P256r1	brainpoolp256r1	1.3.36.3.3.2.8.1.1.7
ECC Brainpool P256t1	brainpoolp256t1	1.3.36.3.3.2.8.1.1.8
ECC Brainpool P320r1	brainpoolp320r1	1.3.36.3.3.2.8.1.1.9
ECC Brainpool P320t1	brainpoolp320t1	1.3.36.3.3.2.8.1.1.10
ECC Brainpool P384r1	brainpoolp384r1	1.3.36.3.3.2.8.1.1.11
ECC Brainpool P384t1	brainpoolp384t1	1.3.36.3.3.2.8.1.1.12
ECC Brainpool P512r1	brainpoolp512r1	1.3.36.3.3.2.8.1.1.13
ECC Brainpool P512t1	brainpoolp512t1	1.3.36.3.3.2.8.1.1.14
NIST B-163	B-163	1.3.132.0.15
NIST B-233	B-233	1.3.132.0.27
NIST B-283	B-283	1.3.132.0.17
NIST B-409	B-409	1.3.132.0.37
NIST B-571	B-571	1.3.132.0.39
NIST K-163	K-163	1.3.132.0.1
NIST K-233	K-233	1.3.132.0.26
NIST K-283	K-283	1.3.132.0.16
NIST K-409	K-409	1.3.132.0.36
NIST K-571	K-571	1.3.132.0.38
NIST P-192	P-192	1.2.840.10045.3.1.1
NIST P-224	P-224	1.3.132.0.33
NIST P-256	P-256	1.2.840.10045.3.1.7
NIST P-384	P-384	1.3.132.0.34
NIST P-521	P-521	1.3.132.0.35
SEC secp112r1	secp112r1	1.3.132.0.6
SEC secp112r2	secp112r2	1.3.132.0.7

Name	IDs	OID
SEC secp128r1	secp128r1	1.3.132.0.28
SEC secp128r2	secp128r2	1.3.132.0.29
SEC secp160k1	secp160k1	1.3.132.0.9
SEC secp160r1	secp160r1	1.3.132.0.8
SEC secp160r2	secp160r2	1.3.132.0.30
SEC secp192k1	secp192k1	1.3.132.0.31
SEC secp192r1	secp192r1	1.2.840.10045.3.1.1
SEC secp224k1	secp224k1	1.3.132.0.32
SEC secp224r1	secp224r1	1.3.132.0.33
SEC secp256k1	secp256k1	1.3.132.0.10
SEC secp256r1	secp256r1	1.2.840.10045.3.1.7
SEC secp384r1	secp384r1	1.3.132.0.34
SEC secp521r1	secp521r1	1.3.132.0.35
SEC sect113r1	sect113r1	1.3.132.0.4
SEC sect113r2	sect113r2	1.3.132.0.5
SEC sect131r1	sect131r1	1.3.132.0.22
SEC sect131r2	sect131r2	1.3.132.0.23
SEC sect163k1	sect163k1	1.3.132.0.1
SEC sect163r1	sect163r1	1.3.132.0.2
SEC sect163r2	sect163r2	1.3.132.0.15
SEC sect193r1	sect193r1	1.3.132.0.24
SEC sect193r2	sect193r2	1.3.132.0.25
SEC sect233k1	sect233k1	1.3.132.0.26
SEC sect233r1	sect233r1	1.3.132.0.27
SEC sect239k1	sect239k1	1.3.132.0.3
SEC sect283k1	sect283k1	1.3.132.0.16
SEC sect409k1	sect409k1	1.3.132.0.36
SEC sect409r1	sect409r1	1.3.132.0.37
SEC sect571k1	sect571k1	1.3.132.0.38
SEC sect571r1	sect571r1	1.3.132.0.39
X9.62 c2pnb163v1	c2pnb163v1	1.2.840.10045.3.0.1
X9.62 c2pnb163v2	c2pnb163v2	1.2.840.10045.3.0.2
X9.62 c2pnb163v3	c2pnb163v3	1.2.840.10045.3.0.3
X9.62 c2pnb176w1	c2pnb176w1	1.2.840.10045.3.0.4
X9.62 c2tnb191v1	c2tnb191v1	1.2.840.10045.3.0.5
X9.62 c2tnb191v2	c2tnb191v2	1.2.840.10045.3.0.6

Name	IDs	OID
X9.62 c2tnb191v3	c2tnb191v3	1.2.840.10045.3.0.7
X9.62 c2pnb208w1	c2pnb208w1	1.2.840.10045.3.0.10
X9.62 c2tnb239v1	c2tnb239v1	1.2.840.10045.3.0.11
X9.62 c2tnb239v2	c2tnb239v2	1.2.840.10045.3.0.12
X9.62 c2tnb239v3	c2tnb239v3	1.2.840.10045.3.0.13
X9.62 c2pnb272w1	c2pnb272w1	1.2.840.10045.3.0.16
X9.62 c2pnb304w1	c2pnb304w1	1.2.840.10045.3.0.17
X9.62 c2tnb359v1	c2tnb359v1	1.2.840.10045.3.0.18
X9.62 c2pnb368w1	c2pnb368w1	1.2.840.10045.3.0.19
X9.62 c2tnb431r1	c2tnb431r1	1.2.840.10045.3.0.20
X9.62 prime192v1	prime192v1	1.2.840.10045.3.1.1
X9.62 prime192v2	prime192v2	1.2.840.10045.3.1.2
X9.62 prime192v3	prime192v3	1.2.840.10045.3.1.3
X9.62 prime239v1	prime239v1	1.2.840.10045.3.1.4
X9.62 prime239v2	prime239v2	1.2.840.10045.3.1.5
X9.62 prime239v3	prime239v3	1.2.840.10045.3.1.6
X9.62 prime256v1	prime256v1	1.2.840.10045.3.1.7

Appendix D – Use with OSGI

In order to properly support the JSSE it was necessary to call some specific sun classes. For this reason many OSGI containers will not be able to immediately resolve the sc-fips jar. If this happens adding:

sun.security.internal.spec

to the

org.osgi.framework.system.packages.extra

configuration property for the container should deal with the issue.

Appendix E – Public/Private Key Conversion

All public/private keys in both the JCE/JCA and the low-level API return the appropriate ASN.1 encoding via their getEncoded() methods.

So, for example, the following shows how to convert a JCA RSA key pair into low level keys:

```
KeyPairGenerator kpGen = KeyPairGenerator.getInstance("RSA", "SCFIPS");
kpGen.initialize(2048);

KeyPair kp = kpGen.generateKeyPair();

AsymmetricRSAPublicKey rsaPubKey =
    new AsymmetricRSAPublicKey(FipsRSA.ALGORITHM, kp.getPublic().getEncoded());


AsymmetricRSAPrivateKey rsaPrivKey =
    new AsymmetricRSAPrivateKey(FipsRSA.ALGORITHM, kp.getPrivate().getEncoded());
```

Going back the other way requires the use of the JCA KeyFactory class. In this case we use the getEncoded() method on the the two low-level keys, but in this case create the corresponding key specs which we then pass to the KeyFactory. For example:

```
KeyFactory keyFactory = KeyFactory.getInstance("RSA", "SCFIPS");

RSAPublicKey jcaPubKey = (RSAPublicKey)keyFactory.generatePublic(
                new X509EncodedKeySpec(rsaPubKey.getEncoded()));

RSAPrivateKey jcaPrivKey = (RSAPrivateKey)keyFactory.generatePrivate(
                                new PKCS8EncodedKeySpec(rsaPrivKey.getEncoded()));
```

will convert the two low level keys created in the first code snippet back into their JCA counterparts.

Appendix F – The SCFKS file Format

The SCFKS KeyStore files are constructed using ASN.1 where the outer layer of the key store is described as follows:

```
ObjectStore ::= SEQUENCE {
      CHOICE {
             encryptedObjectStoreData EncryptedObjectStoreData,
             objectStoreData ObjectStoreData
      }
      integrityCheck ObjectStoreIntegrityCheck
}
```

In the case of the SCFKS store the CHOICE item is *EncryptedObjectStoreData* and the integrity check is HMAC SHA-512 using a 512 bit key derived from the KeyStore password. The algorithm used to derive the key is PKCS#5 Scheme 2 and the password is converted into bytes for the key deriver using the PKCS#12 scheme, so each Java character is converted into 2 bytes. A differentiator is also concatenated with the password so that integrity keys will not equal encryption keys.

EncryptedObjectStoreData is defined as:

```
EncryptedObjectStoreData ::= SEQUENCE {
      encryptionAlgorithm AlgorithmIdentifier
      encryptedContent OCTET STRING
}
```

In this case the algorithm used is 256 bit AES CCM. The outer key is again derived from the password using PKCS#5 scheme2 but uses a different salt as well as a differentiator specific for store encryption to avoid key overlap.

The *OCTET STRING* representing the encrypted content is an encrypted encoding of an *ObjectStoreData* structure.

```
ObjectStoreData ::= SEQUENCE {
      version INTEGER.
      dataSalt OCTET STRING,
      integrityAlgorithm AlgorithmIdentifier,
      creationDate GeneralizedTime,
      lastModifiedDate GeneralizedTime,
      objectDataSequence ObjectDataSequence,
      comment UTF8String OPTIONAL
}
```

with *ObjectDataSequence* defined as:

```
ObjectDataSequence ::= SEQUENCE OF ObjectData
```

and *ObjectData* defined as:

```
ObjectData ::= SEQUENCE {
      type          INTEGER,
      identifier     UTF8String,
      creationDate    GeneralizedTime,
      lastModifiedDate GeneralizedTime,
      data          OCTET STRING,
      comment        UTF8String OPTIONAL
}
```

In the case of a SCFKS key store, type will be one of *CERTIFCATE(0)*, *PRIVATE_KEY(1)*, *SECRET_KEY(2)*, *PROTECTED_PRIVATE_KEY(3)*, and *PROTECTED_SECRET_KEY(4).* The last two types allow for some customisation of the encryption used for storing a private key or a secret key via the setKeyEntry() method that takes a byte array.

Certificates are stored using an encoding of the standard PKIX Certificate type.

In the case of private keys and secret keys, they are stored using either an encoding of an *EncryptedPrivateKeyData* object or an *EncryptedSecretKeyData* object.

EncryptedPrivateKeyData is defined as:

```
EncryptedPrivateKeyObjectData ::= SEQUENCE {
    encryptedPrivateKeyInfo EncryptedPrivateKeyInfo,
    certificates SEQUENCE OF Certificate
}
```

where the *encryptedPrivateKeyInfo* is generated using 256 bit AES CCM with a key derived from the password to store the key using the same scheme as with the store itself. A differentiator is also concatenated with the password to avoid key overlap in case the same password is used for a different purpose elsewhere in the KeyStore.

EncryptedSecretKeyData is defined as::

```
EncryptedSecretKeyData ::= SEQUENCE {
        keyEncryptionAlgorithm AlgorithmIdentifier,
    encryptedKeyData OCTET STRING
}
```

where the encrypted key data is an encrypted encoding of a *SecretKeyData* object defined as:

```
SecretKeyData ::= SEQUENCE {
    keyAlgorithm OBJECT IDENTIFIER,
    keyBytes OCTET STRING
}
```

The encoding of the *SecretKeyData* is also encrypted using 256 bit AES CCM using a key derived from the password to store the key using the same scheme as with the store itself. A differentiator is also concatenated with the password to avoid key overlap in case the same password is used for a different purpose elsewhere in the KeyStore.

Appendix G – Troubleshooting

1. Use of the SCFIPS provider results in exceptions reporting as:
`"java.lang.SecurityException: Unsupported keysize or algorithm parameters"` or `"java.security.InvalidKeyException: Illegal key size"`.

If you see one of these exceptions it means the unrestricted policy files for the JVM you are using have not been installed. For a standard JVM you can navigate to the policy files by going to http://www.oracle.com/technetwork/java/index.html and and then looking in the download area for your the JDK/JRE you are using (the links to the policy files are normally at the bottom of the page). Download the zip file provided, follow the instructions, making sure you are installing the files into the JVM you are running with, and you should find the exception stops happening.

Note: Providing maximum key sizes are not exceeded it is possible to use the SCFIPS provider without getting this exception, so a lack of these exceptions in early operation may simply mean nothing has happened to trigger them. If it suddenly starts happening the first thing to check is the policy files.

2. JVM using the SCFIPS provider periodically blocks, especially on start up.

The SCFIPS provider is very careful about making sure it has access to sufficient entropy to generate good quality keys. Occasionally the source the JVM is using will run out of entropy and block. The best way to deal with this is to make sure the execution environment has hardware RNG turned on if it is available.

3. JSSE – cannot find JKS key store type or key store not from SCFIPS provider.

If using the JSSE in FIPS mode, the key stores containing either the private server credentials, or the private client credentials, must be readable using the SCFIPS provider. The only key store type the SCFIPS provider has available that is FIPS compliant is the SCFKS key store type, so when using the JSSE in FIPS mode, the key stores for private key credentials need to be of the type SCFKS, and any container utilising the JSSE needs to be configured appropriately.

For example, in tomcat, the Connector config for SSL/TLS will usually need to include:

    keystoreType="SCFKS"
    keystoreProvider="SCFIPS"

To make sure the tomcat passes in the correct key store type to allow the JSSE to work in FIPS mode with the SCFIPS provider.

Appendix H – Disclosures

Please note that just as patents can vary from jurisdiction to jurisdiction in any field of endeavour, the same applies to cryptographic algorithms where some techniques which are freely usable in some places are patented in others. It is your responsibility to make sure you understand the situation with patents as it applies to your circumstances.

In the case of one standard we implement, derived from RFC 5753, we are aware of a disclosure requirement. This is to say, the module contains implementations of EC MQV as described in RFC 5753, "Use of ECC Algorithms in CMS". In line with the conditions in:

http://www.ietf.org/ietf-ftp/IPR/certicom-ipr-rfc-5753.pdf

We state, where EC MQV has not otherwise been disabled:

"The use of this product or service is subject to the reasonable, non-discriminatory terms in the Intellectual Property Rights (IPR) Disclosures of Certicom Corp. at the IETF for Use of Elliptic Curve Cryptography (ECC) Algorithms in Cryptographic Message Syntax (CMS) implemented in the product or service."

Appendix I – References

"Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program", National Institute of Standards and Technology and the Communications Security Establishment Canada. January11, 2016.

"SC-FJA (Spongy Castle FIPS Java API) FIPS 140-2 Cryptographic Module Security Policy", Legion of the Spongy Castle Inc. January 21, 2016.